

## FORMALITIES LETTER



\*OC00000005207219\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/550,303	04/14/2000	Brian Haab	S99-066

Pamela Sherwood  
BOZICEVIC FIELD & FRANCIS LLP  
200 Middlefield Road  
Suite 200  
Menlo Park, CA 94025

Date Mailed: 06/28/2000



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$180.  
    ■ **\$180 for 10 total claims over 20.**
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1000.**

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*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center


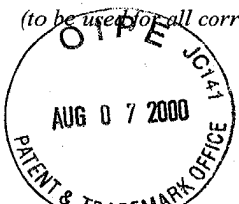
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

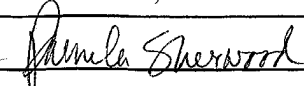
08/11/2000 SDENBOR1 00000008 500815 09550303

01 FC:201	345.00 CH
02 FC:203	90.00 CH
03 FC:205	65.00 CH

SECTO  
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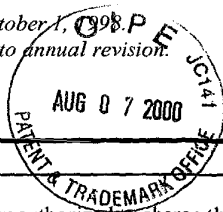
CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231			
Typed or Printed Name	Wilhelm Albert Palmen Jr.		
Signature		Date	August 3, 2000
<div style="text-align: center;"> <b>TRANSMITTAL FORM</b>  <i>(to be used for all correspondence after initial filing)</i> </div> <div style="text-align: center;">  </div>		Attorney Docket	STAN-128
		First Named Inventor	Haab et al.
		Application Number	09/550,303
		Filing Date	April 14, 2000
		Group Art Unit	1643
		Examiner Name	Unassigned
		Title: "MICROARRAYS OF POLYPEPTIDES"	

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input type="checkbox"/> Information Disclosure Statement, 1449 and <input type="checkbox"/> references  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.53(b) <i>(with copy of Notice to File Missing Parts)</i>	<input type="checkbox"/> Issue Fee Transmittal <i>(with copy of PTOL-85B)</i>  <input type="checkbox"/> Formal Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition Routing Slip and Accompanying Petition  <input type="checkbox"/> To Convert a Provisional Application  <input checked="" type="checkbox"/> Executed Combined Declaration/Power of Attorney  <input type="checkbox"/> Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input checked="" type="checkbox"/> Small Entity Statement	<input type="checkbox"/> Request for Refund  <input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i>  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) <i>(please identify below):</i> Return Postcard _____ _____ _____

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Individual Name	Pamela J. Sherwood	Registration No.	36,677
Firm Name	BOZICEVIC, FIELD & FRANCIS LLP		
Signature			
Date	August 3, 2000		

**FEE TRANSMITTAL**

Note: Effective October 1, 1998, P  
Patent fees are subject to annual revision.



Attorney Docket Number AN-128

First Named Inventor Haab et al.

Application Number 09/550,303

Filing Date April 14, 2000

Group Art Unit 1643

Examiner Name Unassigned

**METHOD OF PAYMENT**1. ☐ A Check Is Enclosed2. ☒ The Commissioner is hereby authorized to charge the following and any additional fees including fees required under 37 CFR 1.16 and 1.17 and credit any overpayments to: Deposit Account No. 50-0815.

Deposit Account Name: Bozicevic, Field &amp; Francis LLP

**FEE CALCULATION****1. FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Due
101	690	201	345	Utility filing fee	\$345.00
102	310	206	155	Design filing fee	
104	480	207	240	Plant filing fee	
109	690	208	345	Reissue filing fee	
110	150	214	75	Provisional filing fee	
Subtotal (1)					\$345.00

**2. CLAIMS**

No. of claims as filed or after amendment		Most claims previously paid		Extra claims		Fee from below		Fee Due
Total claims	30	-	20	=	10	x	9.00	= \$90.00
Ind. claims	3	-	3	=	0	x	39.00	= \$0.00
Multiple Dependent claims						x		=

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	78	202	39	Independent claims in excess of 3
104	260	204	130	Multiple dependent claim
109	78	209	39	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of and over original patent

Subtotal (2) \$90.00

**3. ADDITIONAL FEES**

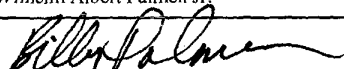
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Due	Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Due
105	130	205	65	Surcharge - late filing fee or oath	65	127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification		147	2,520	147	2,520	Filing a request for reexamination	
115	110	215	55	Ext. for reply within first month		116	380	216	190	Ext. for reply within second month	
117	870	217	435	Ext. for reply within third month		118	1,360	218	680	Ext. for reply within fourth month	
128	1,850	228	925	Ext. for reply within fifth month		119	300	219	150	Notice of Appeal	
120	300	220	150	Filing brief in support of appeal		121	260	221	130	Request for oral hearing	
140	110	240	55	Petition to revive - unavoidable		141	1,210	241	605	Petition to revive - unintentional	
142	1,210	242	605	Utility issue fee (or reissue)		122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to prov. appl.		126	240	126	240	Submission of IDS	
146	690	246	345	Filing submission after final rejection (37 CFR 1.129(a))		149	690	249	345	For each additional invention to be examined (37 CFR 1.129(b))	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action		113	1840*	113	1840*	Requesting publication of SIR after Examiner action	
143	430	243	215	Design issue fee		144	580	244	290	Plant issue fee	
581	40	581	40	Recording patent assignment		Other fee (specify)					

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$65.00

**TOTAL AMOUNT TO BE CHARGED (\$500.00)****SUBMITTED BY**

Typed or Printed Name	Pamela J. Sherwood, BOZICEVIC, FIELD & FRANCIS LLP	Complete (if applicable)	
Signature	<i>Pamela J. Sherwood</i>	Reg. Number	36,677
Date	August 3, 2000	Deposit Account	50-0815

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.			
Typed or Printed Name	Wilhelm Albert Palmen Jr.		
Signature		Date	August 3, 2000

<b>RESPONSE TO MISSING PARTS</b>  Address to: Box Missing Parts Assistant Commissioner for Patents Washington, D.C. 20231	Attorney Docket	STAN-128
	First Named Inventor	Haab et al.
	Application Number	09/550,303
	Filing Date	April 14, 2000
	Group Art Unit	1643
	Examiner Name	Unassigned
Title: "MICROARRAYS OF POLYPEPTIDES"		

Sir:

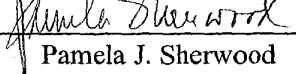
In response to the Notice to File Missing Parts dated June 28, 2000, transmitted herewith for filing are the following documents:

1. a copy of the Notice to File Missing Parts;
2. a Combined Declaration and Power of Attorney signed by the inventors;
3. a Verified Statement Claiming Small Entity Status.

The Commissioner is hereby authorized to charge the amount of \$500.00 (surcharge, \$65.00; statutory basic filing fee, \$345.00; additional claim fees, \$90.00) to cover the fees required and any fees under 37 C.F.R. §§ 1.16 and 1.17 which may be required by this paper, or to credit any overpayment, to Deposit Account No. 50-0815.

Respectfully submitted,  
BOZICEVIC, FIELD & FRANCIS LLP

Date: August 3, 2000

By:   
Pamela J. Sherwood  
Registration No. 36,677

BOZICEVIC, FIELD & FRANCIS LLP  
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